

PATENT Docket No. 27475/07709

## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. Serial No.: 10/710,810	:
771 1 00/04/0004	: Art Unit No.: 1773
Filed: 08/04/2004	: CUSTOMER NO. 24024
For: PRODUCT COMPRISING A THIN-FILMED	:
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COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

THREE-DIMENSIONAL SUBSTRATE

In report application of Hagonian et al.

## INFORMATION DISCLOSURE STATEMENT

Sir:

1.	Pursuant	to 37	C.F.R.	1.97 an	d 1.98,	and ir	compliance	with 3	37 C.F.R.	1.56,	the Of	fice's
							r information					
							(a) pending					
cited or	submitte	d to the	e Office	in the f	ollowin	g applic	ation(s) upo	n which	this appl	ication	relies :	for an
earlier 1	filing date	under :	35 U.S.C	C. 120:								
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Serial No.:	
Filing Date:	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - [] (a) Copy of English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - [] (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents". (See attached International Search Report).
- 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:
  - [] (a) Within 3 months of the filing date or date of entry into the National Stage.

	[]	(b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172 for consideration of this Statement.
	[]	(c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
		[] (1) The required certification is given below, or
		[] (2) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172.
	[]	(d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
		[] (1) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 03-0172.
4.	Certific	ation (if applicable)
	[]	(a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
	[]	(b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
5.		ommissioner is hereby authorized to charge any additional fees or credit any overpayment osit Account No. 03-0172.
		Respectfully submitted,  You How How Kenneth J. Smith  Attorney for Applicants  Reg. No. 45,115
day of A	April, 200	Certificate of Mailing  To certify that this INFORMATION DISCLOSURE STATEMENT is being deposited on this, the 18th 206, with the United States Postal Service with sufficient postage as first class mail in an envelope ommissioner for Patents, P.O. Box 1450, Alexandra, VA 22313, 1450.
Print Na		erson Signing This Certificate SIGNATURE

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known Application Number 10/710,810 Filing Date 08/04/2 First Named Inventor Hagopian et al. Art Unit 1773 **Examiner Name** Leszek B. Kiliman Attorney Docket Number 27475/07709

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2 (# known)</sup>			1 igures Appear
		<sup>US-</sup> 3,713,935	01/30/73	Grecchi	
		<sup>US-</sup> 4,048,036	09/13/77	Prucnal	
		<sup>US-</sup> 4,072,770	02/07/78	Ting	
		<sup>US-</sup> 4,171,387	10/16/79	Fogle et al.	
		<sup>US-</sup> 4,338,364	07/06/82	Kennon et al.	
		<sup>US-</sup> 5,482,745	01/09/;96	Cuellar et al.	
		<sup>US-</sup> 5,669,974	09/23/97	Cueller et al.	
		<sup>US-</sup> 5,773,487	06/30/98	Sokol	
	,	<sup>US-</sup> 5,837,745	11/17/98	Safta et al.	
		<sup>US-</sup> 5,994,424	11/30/99	Safta et al.	
		<sup>US-</sup> 6,190,727	02/20/01	Thaggard	
		<sup>US-</sup> 6,448,302	09/10/02	Dawson et al.	
		<sup>US-</sup> 6,509,389	01/23/03	Krohn	
		<sup>US-</sup> 6,585,173	07/01/03	Schmon et al.	
		<sup>US-</sup> 2001/0043995	11/22/01	Sgro et al.	
		us- 2002/0081393	06/27/02	Kjellqvist et al.	
		<sup>US-</sup> 2003/0082305	05/01/03	Krohn	
		<sup>US-</sup> 2003/0119933	06/26/03	Krohn	
		US-			

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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Signature	Considered	

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Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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27475/07709

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Sheet 2

Attorney Docket Number

			U. S. PATEN	T DOCUMENTS	
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		<sup>US-</sup> 6,231,931	05/2001	Blazey et al.	
		<sup>US-</sup> 6,268,022	07/2001	Schlegel et al.	
		<sup>US-</sup> 5,989,638	11/1999	Nielsen, Kenneth A.	
		<sup>US-</sup> 6,746,535	06/2004	Hasenour et al.	
		<sup>US-</sup> 5,290,598	03/1994	Myers et al.	
		<sup>US-</sup> 6,306,952	10/2001	Brown, Ward Thomas	
		<sup>US-</sup> 5,478,014	12/1995	Hynds, James E.	
		<sup>US-</sup> 5,669,974	09/1997	Cueller et al.	
		<sup>US-</sup> 2002/00033134	03/2002	Fannon, Mark G.	
		<sup>US-</sup> 5,290,598	03/1994	Myers	
		<sup>US-</sup> RE36,378	11/1999	Mellette	
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CODSING	10 101 101111 1440/1 10			Application Number	10/710,810	· · · · · · · · · · · · · · · · · · ·
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	(Ose as many si	ieets as i	iecessary)	Examiner Name	Leszek B. Kiliman	
Sheet	3	of	3	Attorney Docket Number	27475/07709	

Evamines	Cito	NON PATENT LITERATURE DOCUMENTS	-
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Article "Color Stabilization of Sood and Durability Improvement of Wood Coatings", by Daniel Rogez, Industry Manager Wood Coatings, Ciba Specialty Chemicals, Inc., Basel,	
		(continued) Switzerland, PCI Magazine, March 2002, five pages.	
		Article "Understanding Turbine HVLP", Finishing & Restoration, October 2002, four pages.	
		Brochure "Perfect Surfaces Automatically, SATA LP Automatic and Robotic Guns, three pages, 07/03, printed USA.	
		Page from website www.duboisequipment.com, Dubois UV Mist Coater, 10/21/2003.	
		Office Action dated 01/09/2006; U.S.S.N. 10/710,805.	
		Office Action dated 07/05/2005; U.S.S.N. 10/710,805.	

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